

1 KNEAFSEY & FRIEND LLP
2 SEAN M. KNEAFSEY (SBN 180863)
3 skneafsey@kneafseyfriend.com
4 JOYCE J. CHO (SBN 256165)
5 jcho@kneafseyfriend.com
6 800 Wilshire Blvd., Suite 710
7 Los Angeles, California 90017
8 Phone: (213) 892-1200
9 Fax: (213) 892-1208

10 Attorneys for Plaintiff
11 GENES INDUSTRY, INC.

12 UNITED STATES DISTRICT COURT
13 CENTRAL DISTRICT OF CALIFORNIA

14 GENES INDUSTRY, INC., a California
15 corporation,

16 Plaintiff,

17 vs.

18 CUSTOM BLINDS AND
19 COMPONENTS, INC., a California
20 corporation,

21 Defendant.

22 CUSTOM BLINDS AND
23 COMPONENTS, INC., a California
24 corporation,

25 Counter-Claimant,

26 vs.

27 GENES INDUSTRY, INC., a California
28 corporation,

Counter-Defendant.

Case No.: 8:15-CV-00476-AG-E

**STIPULATED PROTECTIVE
ORDER**

Complaint Filed: March 26, 2015
Trial Date: November 29, 2016

1 **1. PURPOSE AND LIMITS OF THIS ORDER**

2 Discovery in this action is likely to involve confidential, proprietary, or
3 private information requiring special protection from public disclosure and from use
4 for any purpose other than this litigation. Thus, the Court enters this Protective
5 Order. This Order does not confer blanket protections on all disclosures or responses
6 to discovery, and the protection it gives from public disclosure and use extends only
7 to the specific material entitled to confidential treatment under the applicable legal
8 principles. This Order does not automatically authorize the filing under seal of
9 material designated under this Order. Instead, the parties must comply with L.R. 79-
10 5.1 if they seek to file anything under seal. This Order does not govern the use at
11 trial of material designated under this Order.

12
13 **2. DESIGNATING PROTECTED MATERIAL**

14 **2.1 Over-Designation Prohibited.** Any party or non-party who designates
15 information or items for protection under this Order as “CONFIDENTIAL,”
16 “HIGHLY CONFIDENTIAL – ATTORNEY EYES ONLY,” or “HIGHLY
17 CONFIDENTIAL – SOURCE CODE” (a “designator”) must only designate
18 specific material that qualifies under the appropriate standards. To the extent
19 practicable, only those parts of documents, items, or oral or written communications
20 that require protection shall be designated. Designations with a higher
21 confidentiality level when a lower level would suffice are prohibited. Mass,
22 indiscriminate, or routinized designations are prohibited. Unjustified designations
23 expose the designator to sanctions, including the Court’s striking all confidentiality
24 designations made by that designator. Designation under this Order is allowed only
25 if the designation is necessary to protect material that, if disclosed to persons not
26 authorized to view it, would cause competitive or other recognized harm. Material
27 may not be designated if it has been made public, or if designation is otherwise
28 unnecessary to protect a secrecy interest. If a designator learns that information or

1 items that it designated for protection do not qualify for protection at all or do not
2 qualify for the level of protection initially asserted, that designator must promptly
3 notify all parties that it is withdrawing the mistaken designation.

4 **2.2 Manner and Timing of Designations.** Designation under this Order
5 requires the designator to affix the applicable legend (“CONFIDENTIAL,”
6 “HIGHLY CONFIDENTIAL – ATTORNEY EYES ONLY,” or “HIGHLY
7 CONFIDENTIAL – SOURCE CODE”) to each page that contains protected
8 material. For testimony given in deposition or other proceeding, the designator shall
9 specify all protected testimony and the level of protection being asserted. It may
10 make that designation during the deposition or proceeding, or may invoke, on the
11 record or by written notice to all parties on or before the next business day, a right to
12 have up to 21 days from the deposition or proceeding to make its designation.

13 **2.2.1** A party or non-party that makes original documents or materials
14 available for inspection need not designate them for protection until after the
15 inspecting party has identified which material it would like copied and
16 produced. During the inspection and before the designation, all material shall
17 be treated as HIGHLY CONFIDENTIAL – ATTORNEY EYES ONLY.
18 After the inspecting party has identified the documents it wants copied and
19 produced, the producing party must designate the documents, or portions
20 thereof, that qualify for protection under this Order.

21 **2.2.2** Parties shall give advance notice if they expect a deposition or
22 other proceeding to include designated material so that the other parties can
23 ensure that only authorized individuals are present at those proceedings when
24 such material is disclosed or used. The use of a document as an exhibit at a
25 deposition shall not in any way affect its designation. Transcripts containing
26 designated material shall have a legend on the title page noting the presence
27 of designated material, and the title page shall be followed by a list of all
28 pages (including line numbers as appropriate) that have been designated, and

1 the level of protection being asserted. The designator shall inform the court
2 reporter of these requirements. Any transcript that is prepared before the
3 expiration of the 21-day period for designation shall be treated during that
4 period as if it had been designated HIGHLY CONFIDENTIAL –
5 ATTORNEY EYES ONLY unless otherwise agreed. After the expiration of
6 the 21-day period, the transcript shall be treated only as actually designated.

7 **2.3 Inadvertent Failures to Designate.** An inadvertent failure to
8 designate does not, standing alone, waive protection under this Order. Upon timely
9 assertion or correction of a designation, all recipients must make reasonable efforts
10 to ensure that the material is treated according to this Order.

11
12 **3. CHALLENGING CONFIDENTIALITY DESIGNATIONS**

13 All challenges to confidentiality designations shall proceed under L.R. 37-1
14 through L.R. 37-4.

15
16 **4. ACCESS TO DESIGNATED MATERIAL**

17 **4.1 Basic Principles.** A receiving party may use designated material only
18 for this litigation. Designated material may be disclosed only to the categories of
19 persons and under the conditions described in this Order.

20 **4.2 Disclosure of CONFIDENTIAL Material Without Further**
21 **Approval.** Unless otherwise ordered by the Court or permitted in writing by the
22 designator, a receiving party may disclose any material designated
23 CONFIDENTIAL only to:

24 **4.2.1** The receiving party's outside counsel of record in this action
25 and employees of outside counsel of record to whom disclosure is reasonably
26 necessary;
27
28

1 **4.2.2** The officers, directors, and employees of the receiving party to
2 whom disclosure is reasonably necessary, and who have signed the
3 Agreement to Be Bound (Exhibit A);

4 **4.2.3** Experts retained by the receiving party's outside counsel of
5 record to whom disclosure is reasonably necessary, and who have signed the
6 Agreement to Be Bound (Exhibit A);

7 **4.2.4** The Court and its personnel;

8 **4.2.5** Outside court reporters and their staff, professional jury or trial
9 consultants, and professional vendors to whom disclosure is reasonably
10 necessary, and who have signed the Agreement to Be Bound (Exhibit A);

11 **4.2.6** During their depositions, witnesses in the action to whom
12 disclosure is reasonably necessary and who have signed the Agreement to Be
13 Bound (Exhibit A); and

14 **4.2.7** The author or recipient of a document containing the material, or
15 a custodian or other person who otherwise possessed or knew the information.

16 **4.3 Disclosure of HIGHLY CONFIDENTIAL – ATTORNEY EYES**
17 **ONLY and HIGHLY CONFIDENTIAL – SOURCE CODE Material Without**
18 **Further Approval.** Unless permitted in writing by the designator, a receiving party
19 may disclose material designated HIGHLY CONFIDENTIAL – ATTORNEY
20 EYES ONLY or HIGHLY CONFIDENTIAL – SOURCE CODE without further
21 approval only to:

22 **4.3.1** The receiving party's outside counsel of record in this action and
23 employees of outside counsel of record to whom it is reasonably necessary to
24 disclose the information;

25 **4.3.2** The Court and its personnel;

26 **4.3.3** Outside court reporters and their staff, professional jury or trial
27 consultants, and professional vendors to whom disclosure is reasonably
28 necessary, and who have signed the Agreement to Be Bound (Exhibit A); and

1 **4.3.4** The author or recipient of a document containing the material, or
2 a custodian or other person who otherwise possessed or knew the information.

3 **4.4 Procedures for Approving or Objecting to Disclosure of HIGHLY**
4 **CONFIDENTIAL – ATTORNEY EYES ONLY or HIGHLY**
5 **CONFIDENTIAL – SOURCE CODE Material to In-House Counsel or**
6 **Experts.** Unless agreed to in writing by the designator:

7 **4.4.1** A party seeking to disclose to in-house counsel any material
8 designated HIGHLY CONFIDENTIAL – ATTORNEY EYES ONLY must
9 first make a written request to the designator providing the full name of the
10 in-house counsel, the city and state of such counsel's residence, and such
11 counsel's current and reasonably foreseeable future primary job duties and
12 responsibilities in sufficient detail to determine present or potential
13 involvement in any competitive decision-making. In-house counsel are not
14 authorized to receive material designated HIGHLY CONFIDENTIAL –
15 SOURCE CODE.

16 **4.4.2** A party seeking to disclose to an expert retained by outside
17 counsel of record any information or item that has been designated HIGHLY
18 CONFIDENTIAL – ATTORNEY EYES ONLY or HIGHLY
19 CONFIDENTIAL – SOURCE CODE must first make a written request to the
20 designator that (1) identifies the general categories of HIGHLY
21 CONFIDENTIAL – ATTORNEY EYES ONLY or HIGHLY
22 CONFIDENTIAL – SOURCE CODE information that the receiving party
23 seeks permission to disclose to the expert, (2) sets forth the full name of the
24 expert and the city and state of his or her primary residence, (3) attaches a
25 copy of the expert's current resume, (4) identifies the expert's current
26 employer(s), (5) identifies each person or entity from whom the expert has
27 received compensation or funding for work in his or her areas of expertise
28 (including in connection with litigation) in the past five years, and (6)

1 identifies (by name and number of the case, filing date, and location of court)
2 any litigation where the expert has offered expert testimony, including by
3 declaration, report, or testimony at deposition or trial, in the past five years. If
4 the expert believes any of this information at (4) - (6) is subject to a
5 confidentiality obligation to a third party, then the expert should provide
6 whatever information the expert believes can be disclosed without violating
7 any confidentiality agreements, and the party seeking to disclose the
8 information to the expert shall be available to meet and confer with the
9 designator regarding any such confidentiality obligations.

10 **4.4.3** A party that makes a request and provides the information
11 specified in paragraphs 4.4.1 or 4.4.2 may disclose the designated material to
12 the identified in-house counsel or expert unless, within seven days of
13 delivering the request, the party receives a written objection from the
14 designator providing detailed grounds for the objection.

15 **4.4.4** All challenges to objections from the designator shall proceed
16 under L.R. 37-1 through L.R. 37-4.

17
18 **5. SOURCE CODE**

19 **5.1 Designation of Source Code.** If production of source code is
20 necessary, a party may designate it as HIGHLY CONFIDENTIAL – SOURCE
21 CODE if it is, or includes, confidential, proprietary, or trade secret source code.

22 **5.2 Location and Supervision of Inspection.** Any HIGHLY
23 CONFIDENTIAL – SOURCE CODE produced in discovery shall be made
24 available for inspection, in a format allowing it to be reasonably reviewed and
25 searched, during normal business hours or at other mutually agreeable times, at an
26 office of the designating party's counsel or another mutually agreeable location. The
27 source code shall be made available for inspection on a secured computer in a
28 secured room, and the inspecting party shall not copy, remove, or otherwise transfer

1 any portion of the source code onto any recordable media or recordable device. The
2 designator may visually monitor the activities of the inspecting party's
3 representatives during any source code review, but only to ensure that there is no
4 unauthorized recording, copying, or transmission of the source code.

5 **5.3 Paper Copies of Source Code Excerpts.** The inspecting party may
6 request paper copies of limited portions of source code that are reasonably necessary
7 for the preparation of court filings, pleadings, expert reports, other papers, or for
8 deposition or trial. The designator shall provide all such source code in paper form,
9 including Bates numbers and the label "HIGHLY CONFIDENTIAL – SOURCE
10 CODE."

11 **5.4 Access Record.** The inspecting party shall maintain a record of any
12 individual who has inspected any portion of the source code in electronic or paper
13 form, and shall maintain all paper copies of any printed portions of the source code
14 in a secured, locked area. The inspecting party shall not convert any of the
15 information contained in the paper copies into any electronic format other than for
16 the preparation of a pleading, exhibit, expert report, discovery document, deposition
17 transcript, or other Court document. Any paper copies used during a deposition shall
18 be retrieved at the end of each day and must not be left with a court reporter or any
19 other unauthorized individual.

20
21 **6. INTENTIONALLY OMITTED**

22
23 **7. PROTECTED MATERIAL SUBPOENAED OR ORDERED**
24 **PRODUCED IN OTHER LITIGATION**

25 **7.1 Subpoenas and Court Orders.** This Order in no way excuses non-
26 compliance with a lawful subpoena or court order. The purpose of the duties
27 described in this section is to alert the interested parties to the existence of this
28

1 Order and to give the designator an opportunity to protect its confidentiality interests
2 in the court where the subpoena or order issued.

3 **7.2 Notification Requirement.** If a party is served with a subpoena or a
4 court order issued in other litigation that compels disclosure of any information or
5 items designated in this action as CONFIDENTIAL, HIGHLY CONFIDENTIAL –
6 ATTORNEY EYES ONLY, or HIGHLY CONFIDENTIAL – SOURCE CODE,
7 that party must:

8 **7.2.1** Promptly notify the designator in writing. Such notification shall
9 include a copy of the subpoena or court order;

10 **7.2.2** Promptly notify in writing the party who caused the subpoena or order
11 to issue in the other litigation that some or all of the material covered by the
12 subpoena or order is subject to this Order. Such notification shall include a
13 copy of this Order; and

14 **7.2.3** Cooperate with all reasonable procedures sought by the designator
15 whose material may be affected.

16 **7.3 Wait For Resolution of Protective Order.** If the designator timely
17 seeks a protective order, the party served with the subpoena or court order shall not
18 produce any information designated in this action as CONFIDENTIAL, HIGHLY
19 CONFIDENTIAL – ATTORNEY EYES ONLY or HIGHLY CONFIDENTIAL –
20 SOURCE CODE before a determination by the court where the subpoena or order
21 issued, unless the party has obtained the designator's permission. The designator
22 shall bear the burden and expense of seeking protection of its confidential material
23 in that court.

24
25 **8. UNAUTHORIZED DISCLOSURE OF DESIGNATED MATERIAL**

26 If a receiving party learns that, by inadvertence or otherwise, it has disclosed
27 designated material to any person or in any circumstance not authorized under this
28 Order, it must immediately (1) notify in writing the designator of the unauthorized

1 disclosures, (2) use its best efforts to retrieve all unauthorized copies of the
2 designated material, (3) inform the person or persons to whom unauthorized
3 disclosures were made of all the terms of this Order, and (4) use reasonable efforts
4 to have such person or persons execute the Agreement to Be Bound (Exhibit A).

5
6 **9. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE**
7 **PROTECTED MATERIAL**

8 When a producing party gives notice that certain inadvertently produced
9 material is subject to a claim of privilege or other protection, the obligations of the
10 receiving parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B).
11 This provision is not intended to modify whatever procedure may be established in
12 an e-discovery order that provides for production without prior privilege review
13 pursuant to Federal Rule of Evidence 502(d) and (e).

14
15 **10. FILING UNDER SEAL**

16 Without written permission from the designator or a Court order, a party may
17 not file in the public record in this action any designated material. A party seeking to
18 file under seal any designated material must comply with L.R. 79-5.1. Filings may
19 be made under seal only pursuant to a court order authorizing the sealing of the
20 specific material at issue. The fact that a document has been designated under this
21 Order is insufficient to justify filing under seal. Instead, parties must explain the
22 basis for confidentiality of each document sought to be filed under seal. Because a
23 party other than the designator will often be seeking to file designated material,
24 cooperation between the parties in preparing, and in reducing the number and extent
25 of, requests for under seal filing is essential. If a receiving party's request to file
26 designated material under seal pursuant to L.R. 79-5.1 is denied by the Court, then
27 the receiving party may file the material in the public record unless (1) the
28


1 designator seeks reconsideration within four days of the denial, or (2) as otherwise
2 instructed by the Court.

3
4 **11. FINAL DISPOSITION**

5 Within 60 days after the final disposition of this action, each party shall return
6 all designated material to the designator or destroy such material, including all
7 copies, abstracts, compilations, summaries, and any other format reproducing or
8 capturing any designated material. The receiving party must submit a written
9 certification to the designator by the 60-day deadline that (1) identifies (by category,
10 where appropriate) all the designated material that was returned or destroyed, and
11 (2) affirms that the receiving party has not retained any copies, abstracts,
12 compilations, summaries, or any other format reproducing or capturing any of the
13 designated material. This provision shall not prevent counsel from retaining an
14 archival copy of all pleadings, motion papers, trial, deposition, and hearing
15 transcripts, legal memoranda, correspondence, deposition and trial exhibits, expert
16 reports, attorney work product, and consultant and expert work product, even if such
17 materials contain designated material. Any such archival copies remain subject to
18 this Order.

19 IT IS SO ORDERED.

20
21 DATED: 10/13/15


22 CHARLES F. EICK

23 United States District Judge/Magistrate Judge
24
25
26
27
28

EXHIBIT A

AGREEMENT TO BE BOUND

I, _____ [print or type full name], of
_____ [print or type full address], declare under penalty of perjury
that I have read in its entirety and understand the Protective Order that was issued
by the United States District Court for the Central District of California on _____
[date] in the case of _____ [insert formal name of the case and the number
and initials assigned to it by the court]. I agree to comply with and to be bound by
all the terms of this Protective Order, and I understand and acknowledge that failure
to so comply could expose me to sanctions and punishment for contempt. I solemnly
promise that I will not disclose in any manner any information or item that is subject
to this Protective Order to any person or entity except in strict compliance with this
Order.

I further agree to submit to the jurisdiction of the United States District Court
for the Central District of California for the purpose of enforcing this Order, even if
such enforcement proceedings occur after termination of this action.

I hereby appoint _____ [print or type full name] of
_____ [print or type full address and
telephone number] as my California agent for service of process in connection with
this action or any proceedings related to enforcement of this Order.

Date: _____

City and State where sworn and signed: _____

Printed name: _____

[printed name]

Signature: _____

[signature]